



Kansas Racing and Gaming Commission
700 SW Harrison, Suite 500, Topeka, Kansas 66603
(785) 296-5800, Fax (785) 291-3196

Concessionaire License Application

Instructions

Each applicant for a concessionaire license shall have signed a contract with racetrack owner or manager prior to submitting a license application to the Kansas Racing and Gaming Commission.

All information on the Level I Personal Background Disclosure/Gaming Occupation License form shall be typed or printed legibly. All forms must be properly signed and notarized. Failure to fill out all forms in their entirety may delay the licensing process. Please do not leave any sections blank. Write "None" or "N/A" when applicable.

No applicant for certification shall provide false or misleading information on any application form or to any commission staff. The applicant should respond to the questions on the forms to the best of the applicant's knowledge. Any material omission is grounds for denial.

REQUIRED ITEMS

Each applicant must have the following items submitted to the commission and approved by the commission prior to being granted certification:

- application fee;
- Irrevocable affidavit and consent statements, affidavits relating to substance addiction, waivers of claims for damages for adverse public notice, embarrassment, criticism or public circumstances including financial loss that may result from commission action.

All information on the form shall be typed or printed legibly. All forms must be properly signed and notarized. Failure to fill out all forms in their entirety may delay the licensing process. Please do not leave any sections blank. Write "None" or "N/A" when applicable.

The racing or wagering equipment or services license applicant shall be assessed for expenses, fees and costs incurred by the commission in performing the background investigation of the applicant and its key persons and employees.

The application shall be submitted not less than 120 days prior to the first day the applicant proposes to conduct racing.

CHANGES IN APPLICATION INFORMATION:

Each applicant has the duty to provide current information on the application and to submit any changes in application information to the commission within 11 days of the change.

RENEWALS:

Each concessionaire license certification shall be issued for a period of 1 year, terminating on the last day of the month of the anniversary date of issue. Concessionaire renewal applications and supporting documents shall be filed with the commission not less than 120 days before the expiration date of the certification.

COMPLIANCE STANDARDS

Each applicant and certified manager has the duty to comply with all requirements of the Kansas Parimutual Act and K.A.R. 112-3-1 *et seq.*



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<https://.krge.kansas.gov>

For Office Use Only:

Certificate No. _____
Issue Date: _____
Expir. Date: _____

Concessionaire License Application

APPLICANT INFORMATION

Initial Application

Renewal

1. Full Legal Name of Business			
2. Principal Address (Street Address)		(City)	(State) (Zip)
3a. FEIN No.	3a. SSN (for Sole Proprietor)	4a. Business Phone No.	4b. Business Fax No.

PRIMARY CONTACT PERSON

5a. Primary Contact Person for Business		5b. Position Title	
6. Primary Contact Address (Street Address)		(City)	(State) (Zip)
7a. Business Phone No.	7b. Business Fax No.	8. Email Address	

TYPE OF GOODS / SERVICES BEING PROVIDED

Hay / Feed	Tack	Horseshoes
Horse Walkers	Massage Therapy	Tip sheets
Veterinarian Services	Win Photos	Other - Explain Below
Food / Beverages	Sawdust / Bedding	

Other Services Provided:

Disclosures

- YES NO** Has the applicant, licensee, its owners / key employees ever had any petition under any provision of the Federal Bankruptcy Act or under any state insolvency law filed by or against it?
- YES NO** Has the applicant, licensee, its owners / key employees ever been charged with any criminal offense?
- YES NO** Has the applicant, licensee, its owners/ key employees ever been sued or had any claims filed against them?

DEFINITIONS:

A. "Charge" includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."

B. "Offense" includes all felonies, crimes, high misdemeanors, disorderly persons offenses, and petty disorderly offenses.

List all disciplinary actions commenced and sanctions imposed on the enterprise. Include the name of the jurisdiction and licensing entity that imposed the action or sanction. Should you require additional space, attach additional sheets as necessary.

DISCIPLINARY ACTION	
ACTION	DATE
SANCTIONS IMPOSED	
JURISDICTION	LICENSING ENTITY

DISCIPLINARY ACTION	
ACTION	DATE
SANCTIONS IMPOSED	
JURISDICTION	LICENSING ENTITY

Has the enterprise ever applied in any jurisdiction for a license, permit or other authorization to participate in lawful gambling operations (including casino gaming, horse or dog racing, parimutuel operation, lottery, sport betting, etc.)? **YES NO** If yes, explain below:

Has the enterprise ever had a gaming / racing license suspended, denied or revoked in any jurisdiction: **YES NO**
Please provide list of current racing / gaming licenses the entity has in any jurisdiction.

ACKNOWLEDGEMENT

Initial each line to acknowledge you understand and agree:

_____ I understand that failing to answer these questions completely and truthfully may be the basis for action to be taken against the gaming certification of this business entity, including fines, suspension, and/ or revocation;

_____ I understand that if this business entity is granted a gaming certification, I agree, as the authorized representative of the business entity that the business entity described in this disclosure, and its representatives, employees and agents, will be familiar with and comply with the provisions of the Kansas Parimutuel Racing Act, commission regulations, and laws of the United States and the State of Kansas and subdivisions thereof;

_____ I authorize agents of the Kansas Racing and Gaming Commission to search without warrant the person, personal property or work premises of all representatives, employees or agents of the business entity while within a Kansas parimutuel racing facility or adjacent facilities;

_____ I will require all such representatives, employees or agents of the business entity to submit to a breath or urine test, or both, immediately upon request by any authorized representative of the commission for the purpose of determining whether or not they may be under the influence of alcohol or any controlled substance;

_____ I understand and agree that refusal to submit to a breath or urine test, or both, immediately upon request may result in suspension of the business entity's gaming certification;

_____ I authorize all reporting agencies to release to the commission, or its agents, any information requested by them for completion of the background investigation and processing of the entity's application; and

_____ I understand that providing false information or failing to provide complete information on this application will justify the commission in assessing a fine, refusing to issue, denying, suspending, or revoking of the certification.

The following items have been or are being submitted to the commission with this application:
If "No", attach an explanation as to why they are not.

YES	NO	Copy of the signed agreement or authorization from the organizational or facility manager licensee to sell goods or services at the racetrack facility
YES	NO	State of Kansas Tax Clearance Letter if a Kansas-based business
YES	NO	Authorization of Release

Printed Name of Authorized Representative

Title

Signature of Authorized Representative

Date

AFFIDAVIT

STATE OF _____

SS:

COUNTY OF _____

I, _____, hereby acknowledge that
(NAME)

I am aware the Commission may deny a certification to any applicant that supplies information to the Commission or a Commission Employee that is untrue or misleading. Under penalty of perjury, I certify that the information provided in this background disclosure is true, complete and correct to the best of my knowledge.

(SIGNATURE)

(TYPE, STAMP OR PRINT NAME)

(TITLE OR POSITION)

NOTARIZATION OF THIS SIGNATURE IS REQUIRED BEFORE A KANSAS LICENSE OR CERTIFICATE MAY BE ISSUED

Before me, _____, a notary public in the state of _____, personally
Notary Public (printed) *State*

appeared and acknowledged the execution of the foregoing instrument as his/her voluntary act and deed.

Witness, my hand and notary seal, this _____ day of _____
Day *Month* *Year*

by _____
Notary Public (Signature) (SEAL)



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AUTHORIZATION OF RELEASE

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, and All Governmental Agencies – federal, state and local, without exception, both foreign and domestic.

On behalf of _____
(NAME OF ENTERPRISE)

I, _____
(NAME OF PRESIDENT, OFFICER, PARTNER OR SOLE PROPRIETOR)

have authorized the Kansas Racing and Gaming Commission to conduct a full investigation into the background of the said enterprise.

Therefore, you are hereby authorized to release any and all information pertaining to the said enterprise, documentary or otherwise, as requested by any employee or agent of the Kansas Racing and Gaming Commission, provided that he or she certifies to you that said enterprise has an application pending before the Commission or that said enterprise is presently a licensee or registrant required to be qualified under the provisions of the Kansas Expanded Lottery Act and Kansas Parimutuel Racing Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary. A copy of this authorization will be considered as effective and valid as the original.

(SIGNATURE)

NOTARIZATION OF THIS SIGNATURE IS REQUIRED BEFORE A KANSAS LICENSE OR CERTIFICATE MAY BE ISSUED

Before me, _____, a notary public in the state of _____, personally
Notary Public (printed) *State*

appeared and acknowledged the execution of the foregoing instrument as his/her voluntary act and deed.

Witness, my hand and notary seal, this _____ day of _____, _____
Day *Month* *Year*

by _____
Notary Public (Signature)

(SEAL)